

INDIVIDUAL ATTORNEY SUPPLEMENT

Complete one per attorney

| NA | ME OF APPLICANT FIRM: P | uckett & F | araj, PC | | | | | | | |
|---|--|-------------|---|---|--|--|--|--|--|--|
| 1. | Individual Attorney Supplement for: Mr. 🔀 Ms. 🗌 Haytham Faraj Email address: | | | | | | | | | |
| 2. | Position With Firm: Individual Partner Associate Sof Counsel **Independent Contractor * If Of Counsel, in addition to the Individual Attorney Supplement, please complete OCS 08-06 ** If you are acting as independent Contractor to the Applicant Firm, you may not have coverage under the policy for which you are applying. | | | | | | | | | |
| 3. | In the twelve (12) months prior to the requested effective date, did you complete at least three (3) hours of CLE seminars on Ethics, Risk Management, Loss Prevention and/or Office Management? (Use of video tapes, such as offered by ABA, or attendance at seminars will qualify for a 10% rate credit.) Yes No | | | | | | | | | |
| 4. | Since what date have you been insured on a continuous basis for professional liability? 08/01/2008 | | | | | | | | | |
| 5. | *Predecessor firm means a sole proprietor, partnership, professional corporation, professional association, limited liability corporation, or limited liability partnership engaged in legal services and: 1) to whose financial assets and liabilities the firm listed as the NAMED INSURED shown in item #1 is the majority successor in interest and 2) of which the NAMED INSURED retained 50% or more of the lawyers. | | | | | | | | | |
| 6. | Starting date of private practice (not corporate or government): 08/01/2008 | | | | | | | | | |
| 7. | Do you have knowledge or information of any incident or occurrence which might give rise to a claim being made? Yes No If yes, complete a Claims Information Supplement for each such incident, or provide complete details. | | | | | | | | | |
| indemnity payment of greater than \$20,000 been made by you, your firm or an insurance carrier in settlement of a Professional Liability claim against you? Yes No If yes: How many of these claims were made against you while you were a member of a previous firm? How many of these claims were made against you at your current firm? | | | | | | | | | | |
| | | | nity and complete the Claims Information S | | | | | | | |
| | Date: Claimant: | | | Amount: \$ | | | | | | |
| Date: Claimant: Date: Claimant: | | Claimant: | | Amount: \$ Amount: \$ | | | | | | |
| 9. | 9. Note the percentage of your professional time Admiralty/Maritime Anti-trust/Trade Regulation Arbitration/Mediation Bankruptcy 10 Civil Litigation-Plaintiff* Civil Litigation-Defendant* | | e in private practice devoted to each area Entertainment/Sports Environmental Estate/Probate/Wills/Trusts ERISA/Employee Relations Financial Institutions* Gaming/Casino/Representation | below. Oil/Gas Patents* Copyright/Trademark* Public Utilities Real Estate* Securities Exempt/Bonds* | | | | | | |
| | | | Government Government | | | | | | | |
| Collection/Repossession Corporation /Business | | | Government Immigration | Securities/Registered Offerings* | | | | | | |
| Mergers and Acquisitions | | | International Law | Social Security Taxation* | | | | | | |
| 90 Criminal | | | Labor Law | Workers Compensation | | | | | | |
| Domestic Relations | | | Natural Resources | Other - Describe | | | | | | |
| | | | | | | | | | | |
| * | Provide additional applicable sup | plement for | · firm. | Total (should equal 100%) | | | | | | |

| IND | IVIDUAL ATTORNEY SU | PPLEMENT . | continued | | | | | | |
|--|--|------------|--|---------------|-------------------|--|--|--|--|
| 10. If you offer any of the four services below to the public, please show percentage of your total time devoted to the service and provide details of any professional liability insurance covering the service:** | | | | | | | | | |
| | Insurance Agent/Broker Accountant | 0.00 | Real Estate Agent/Broker Title Agent/Abstractor | 0.00 | | | | | |
| 11. | If part of your law practice is devoted to any one of the following, please show percentage of your total time devoted to the service and provide details of any professional liability insurance covering the service and provide copy of employment contract, if remuneration inures to you rather than to the firm:** | | | | | | | | |
| | Prosecutor 0.0 Public Defender 0.0 | | Municipal, State, or Corpora | te Counsel | 0.00 | | | | |
| 12. Last 4 digits of your Social Security Number: XXX-XX-6884 | | | | | | | | | |
| 13. Date admitted to Bar: 11/01/2005 a. List Bar Association(s) of which you are a member in good standing: ABA, Illinois, Chicago Bar Assoc. b. If you are admitted to practice in jurisdictions other than your office location, please provide the percentage of the firm's annual revenue generated from each jurisdiction(s). | | | | | | | | | |
| 14. | Previous employment sing Start/End Dates (mm/dd/y | | to Bar: nployer/Position | State | Insurance Carrier | | | | |
| | • | N | eal A. Puckett | VA | ALPS | | | | |
| | - | U | SMC - Defense Counsel | Gov't/I ed | None | | | | |
| | • | | | | | | | | |
| | <u>-</u> | | | | | | | | |
| 15. Are you a salaried employee of any organization other than the applicant firm?** Yes No I have you a salaried employee of any organization other than the applicant firm?** | | | | | | | | | |
| 16. Do you serve as director or officer, or do you exercise any fiduciary control over any business enterprise other than the applicant firm including profit and not for profit organizations?** | | | | | | | | | |
| a. If yes, please list enterprise(s), nature of the business and position held on separate attachment, and note whether any "Directors and Officers" liability insurance is maintained. | | | | | | | | | |
| | b. Are any of these enterpris | Yes 🗌 No 🗌 | | | | | | | |
| 17. | Do you perform any professi Insured firm shown in item n If yes, please provide the na | Yes No No | | | | | | | |
| ** REFER TO POLICY EXCLUSIONS REGARDING THESE EXPOSURES. | | | | | | | | | |
| | | | Date:/_/ | | | | | | |
| Attorney's Signature | | | | | | | | | |