



Facsimile

TRANSMITTAL

POLICY EXPIRATION: 1/1/2011

To: Puckett & Faraj, PC
Attn: Mr. Neal Puckett
Fax: (202) 318-7652
Re: Professional Liability Application
Date: December 16, 2010
Pages: 6

Dear Mr. Puckett:

Hello and thank you for submitting our Professional Liability Insurance Application. We want to be sure you are provided the most competitive possible quote, but in order to do so we need the following information to be returned to my attention as quickly as possible:

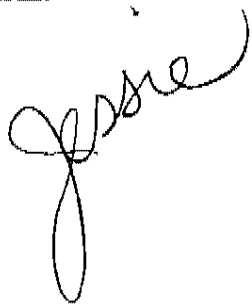
- Provide a letter with the exact date (mm/dd/yyyy) that you wish to add Peter Masciola, Mark Zeid and Brian Boulford – your Of Counsel attorneys.
- A copy of your current firm letterhead.
- Provide the percentage of the firm's annual revenue generated from NY, TX, NC, MA and CT as you have attorneys that are members of these state bars.
- Please advise if any of your Of Counsel attorneys have their own practices. If so, please provide the names of the practices.
- Please have Mr. Masciola provide the date that he has been continuously insured since.
- Please confirm that Mr. Montalvo does 100% military law as his area of practice.

If you have any questions feel free to e-mail or call me @1-800-367-2577 ext. 3858

CONFIDENTIALITY NOTICE

This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential, and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this in error, please notify us immediately by telephone and return the original message to us at the above address via the US Postal Service. Thank you.

- **Please have Mr. Montalvo provide an answer to questions #13a, 313b and #16 on his attached IAS.**
- **Please have Mr. Montalvo date his attached IAS.**
- **Please have Mr. Zeid provide his date of continuous insurance. We need at least a year and a month.**
- **Please have Mr. Zeid provide the date he joined this firm (mm/dd/yyyy).**
- **Please have Mr. Zeid provide his starting date of private practice. We need at least a month and a year.**
- **Please have Mr. Zeid answer questions #14, #16a and #17 on his attached IAS.**
- **Please have Mr. Zeid answer questions #6 and #7 on his attached Of Counsel Supplement.**
- **Please have Mr. Boulford provide his work history from 2006 to the date he joined your firm.**



From the desk of....
Jessie Walrath
jwalrath@alpsnet.com
ALPS
1-800-367-2577 ext. 3858
FAX: 1-406-728-7416

CONFIDENTIALITY NOTICE

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Individual Attorney Supplement
(complete one per attorney)

Firm Name: Puckett & Faraj, PC

1. Name of Attorney: Mr. Ms Haytham Faraj

2. Position With Firm:
 Individual Partner Associate *Of Counsel **Independent Contractor
*If Of Counsel, in addition to the Individual Attorney Supplement, please complete OCS 08-06
** If you are acting as Independent Contractor to the Applicant Firm, you may not have coverage under the policy for which you are applying.

3. During the 12 months prior to the renewal date, did you complete at least three (3) hours of CLE Y N seminars on Ethics, Risk Management, Loss prevention and/or Office Management?

4. Note the percentages of your professional time in private practice devoted to each area below.

<input type="checkbox"/> Admiralty/Maritime	<input type="checkbox"/> Entertainment/Sports	<input type="checkbox"/> Oil/Gas
<input type="checkbox"/> Anti-trust/Trade Regulation	<input type="checkbox"/> Environmental	<input type="checkbox"/> Patents
<input type="checkbox"/> Arbitration/Mediation	<input type="checkbox"/> Estate/Probate/Wills/Trusts	<input type="checkbox"/> Copyright/Trademark
<input type="checkbox"/> Bankruptcy	<input type="checkbox"/> ERISA/Employee Relations	<input type="checkbox"/> Public Utilities
<input type="checkbox"/> 10 Civil Litigation - Plaintiff	<input type="checkbox"/> Financial Institutions	<input type="checkbox"/> Real Estate
<input type="checkbox"/> Civil Litigation - Defendant	<input type="checkbox"/> Gaming/Casino/Representation	<input type="checkbox"/> Securities Exempt/Bonds
<input type="checkbox"/> Collection/Repossession	<input type="checkbox"/> Government	<input type="checkbox"/> Securities/Registered Offerings
<input type="checkbox"/> Corporation /Business	<input type="checkbox"/> Immigration	<input type="checkbox"/> Social Security
<input type="checkbox"/> Mergers and Acquisitions	<input type="checkbox"/> International Law	<input type="checkbox"/> Taxation
<input type="checkbox"/> 90 Criminal	<input type="checkbox"/> Labor Law	<input type="checkbox"/> Workers Compensation
<input type="checkbox"/> Domestic Relations	<input type="checkbox"/> Natural Resources	<input type="checkbox"/> Other - Describe: _____

100 Total (must equal 100%)

5. Do you provide services as a Title Insurance Agent? Y N
If yes, what percentage of time is devoted to this service? _____
Please provide number of closing performed during the last 12 months: _____

6. Are you an employee of any other organization other than the applicant firm? Y N
If yes, please provide the name of that entity : _____

7. In the past year, have you performed any professional legal services for any other entity than the Named Insured firm? Y N
If yes, please provide the name of the other entity: _____

Attorney's Signature

Date 10/10/2010



OF COUNSEL ATTORNEY SUPPLEMENT

Complete this supplement for each "Of Counsel" attorney in the firm

NAME OF APPLICANT FIRM: The Law Firm of Puckett and Faraj, PC

1. NAME OF "OF COUNSEL" ATTORNEY: Mark S. Zaid

2. What percentage of time does the Of Counsel attorney spend working on the firm's behalf? 25%
a. If part-time with the firm, please provide the total number of hours per month that this attorney works on the firm's behalf. _____

3. Please describe the attorney's "Of Counsel" relationship to the firm:

Work on cases as needed

4. Does the Of Counsel attorney:
a. Maintain a dual calendar docket system? Yes No
b. Use engagement, declination, and disengagement letters for all matters accepted, declined, or withdrawn from? Yes No
c. Check both internally and with the firm for potential conflicts of interests and disclose all conflicts in writing to the parties involved. Yes No

5. Does the Of Counsel currently maintain any separate professional liability coverage? Yes No
If so, please attach a Certificate of Insurance or proof of said coverage?

6. Will the Of Counsel be listed on the firm's letterhead? Yes No

7. Does the Of Counsel take clients on behalf of your firm? Yes No
a. Explain the billing trail:

Of counsel attorneys will be so identified in the Law Firm of Puckett and Faraj, PC's contract as an additional attorney with specific expertise and an hourly rate assigned. For those clients in which the Firm will serve as lead counsel, Of Counsel will bill IAW Firm billing policies, documented in the Firm's billing system, and enumerated IAW an agreement between the Firm and the Of Counsel.

8. How is the Of Counsel paid?
a. Are payroll taxes withheld? Yes No
b. Are employee benefits provided? Yes No

9. Please include a completed Individual Attorney Supplement for this attorney.

I understand information submitted herein becomes a part of my Professional Liability Application and is subject to the same terms and conditions.

Applicant Name / Signature: Mark Zaid Title: President Date: 11/18/10

The Submitting Party represents that the information and data herein is true and correct and constitutes a material part of the Application for Professional Liability Insurance. By submitting the name of the Submitting Party above and submitting this information to ALPS, the Submitting Party understands that such act constitutes an original signature and shall have the legal effect of an original signature under the Uniform Electronic Transactions Act.

INDIVIDUAL ATTORNEY SUPPLEMENT *continued* Mark Zaid ZAID

10. If you offer any of the four services below to the public, please show percentage of your total time devoted to the service and provide details of any professional liability insurance covering the service:**

Insurance Agent/Broker _____ Real Estate Agent/Broker _____
Accountant _____ Title Agent/Abstractor _____

11. If part of your law practice is devoted to any one of the following, please show percentage of your total time devoted to the service and provide details of any professional liability insurance covering the service and provide copy of employment contract, if remuneration issues to you rather than to the firm:**

Prosecutor _____ Municipal, State, or Corporate Counsel _____
Public Defender _____

12. Last 4 digits of your Social Security Number: XXXX-XX-8828

13. Date admitted to Bar: 1992
a- List Bar Association(s) of which you are a member in good standing: NY, CT, DC, MD
b. If you are admitted to practice in jurisdictions other than your office location, please provide the percentage of the firm's annual revenue generated from each jurisdiction(s). <10 Less than 10%

14. Previous employment since admission to Bar:

Start/End Dates (mm/dd/yyyy)	Employer/Position	State	Insurance Carrier
<u>N/A</u>	<u>Mark S. Zaid, PC - President</u>	<u>DC</u>	<u>One Beacon</u>

15. Are you a salaried employee of any organization other than the applicant firm? Yes No
If yes, please explain: _____

16. Do you serve as director or officer, or do you exercise any fiduciary control over any business enterprise other than the applicant firm including profit and not for profit organizations? Yes No

a. If yes, please list enterprise(s), nature of the business and position held on separate attachment, and note whether any "Director and Officer" liability insurance is maintained. James Madison Project, Exec Dir. Washington DC non-profit, est 1998, promoting government accountability, reduction of secrecy, education, research, advocacy.

b. Are any of these enterprises clients of the applicant firm? No current Dir/Officer Ins. Yes No

17. Do you perform any professional legal services for any other entity other than the Named insured firm shown in item number one of the Firm Application? Yes No
If yes, please provide the name of the other entity. Mark S. Zaid, PC

** REFER TO POLICY EXCLUSIONS REGARDING THESE EXPOSURES.

Applicant Name / Signature Mark Zaid Title President Date 11/18/10

The Submitting Party represents that the information furnished herein is true and correct and constitutes a material part of the Application for Professional Liability Insurance. By signing the front of the Submitting Party's name and submitting the Submission to ALPS, the Submitting Party understands that such act constitutes the declaration of the party that shall have the legal effect of an oath or affirmation under the Uniform Securities Transactions Act.

INDIVIDUAL ATTORNEY SUPPLEMENT *continued*

Eric Montalvo

10. If you offer any of the four services below to the public, please show percentage of your total time devoted to the service and provide details of any professional liability insurance covering the service:**

Insurance Agent/Broker _____ Real Estate Agent/Broker _____
Accountant _____ Title Agent/Abstractor _____

11. If part of your law practice is devoted to any one of the following, please show percentage of your total time devoted to the service and provide details of any professional liability insurance covering the service and provide copy of employment contract, if remuneration inures to you rather than to the firm:**

Prosecutor _____ Municipal, State, or Corporate Counsel _____
Public Defender _____

12. Last 4 digits of your Social Security Number: XXX-XX- 0673

13. Date admitted to Bar: Nov 21st, 2002

- a. List Bar Association(s) of which you are a member in good standing: PA, NJ, DC
- b. If you are admitted to practice in jurisdictions other than your office location, please provide the percentage of the firm's annual revenue generated from each jurisdiction(s). (ZERO)

14. Previous employment since admission to Bar:

Start/End Dates (mo/dd/yyyy)	Employer/Position	State	Insurance Carrier
11/2002 - 31/01/2009	U.S. Marine Corps		Fed Government
-			
-			
-			

15. Are you a salaried employee of any organization other than the applicant firm? ** Yes No
If yes, please explain

16. Do you serve as director or officer, or do you exercise any fiduciary control over any business enterprise other than the applicant firm including profit and not for profit organizations? ** Yes No

a. If yes, please list enterprise(s), nature of the business and position held on separate attachment, and note whether any "Directors and Officers" liability insurance is maintained.

b. Are any of these enterprises clients of the applicant firm? Yes No

17. Do you perform any professional legal services for any other entity other than the Named Insured firm shown in item number one of the Firm Application? ** Yes No
If yes, please provide the name of the other entity.

** REFER TO POLICY EXCLUSIONS REGARDING THESE EXPOSURES.

Attorney's Signature

Date: 12/20/10

government contracting. Just formed so not yet (re ins). (u)

Neal A. Puckett, Esq.
Lic: VA

Haytham Faraj, Esq.
Lic: IL, MI, DC



Eric S. Montalvo, Esq.
Lic: NJ, PA, DC

Debra A. D'Agostino, Esq.
Lic: NY

THE LAW FIRM OF PUCKETT AND FARAJ, PC

December 20, 2010

Attorneys Liability Protection Society
P.O. Box 9169
Missoula, MT 59807-9169
Attn: Ms. Jessie Walrath

Dear Ms. Walrath,

The following are answers to your questions FAX to me regarding our renewal of the ALPS insurance for the Law Firm of Puckett & Faraj, PC. The Law Firm wishes to add Mr. Peter Masciola as of 03/02/2010, Mr. Mark Zaid as of 05/15/2010 and Mr. Brian Bouffard as of 10/22/2010 as attorneys "Of Counsel" to the Law Firm of Puckett & Faraj, PC. A copy of our current letterhead is attached as an enclosure to this letter. The Firm's 2010 annual revenue is entirely generated in the Commonwealth of Virginia and the state of Michigan. We have yet to generate any income from any other states. The "Of Counsel" Attorneys do indeed have their own practices. Mr. Masciola's Firm is "MillerMasciola, PC" Mr. Bouffard's Firm is "Brian Bouffard, P.C." and Mr. Zaid's Firm is "Mark S. Zaid, P.C."

The following is a summary of the questions you asked the individual "Of Counsel" attorneys:

1. Mr. Masciola has been continuously insured since: Mr. Masciola let his insurance lapse while on military reserve active duty service for 1.5 years.
2. Mr. Montalvo does 93% Military, 2% Federal Law and 5% Business Law
3. Mr. Montalvo's updated IAS for Questions #13a, #13b, and #16 attached along with a date on it.
 - a. Montalvo Individual Attorney Supplement Form
 - i. #13.a. PA, NJ, DC
 - ii. #13.b. Zero
 - iii. #16. Yes.
 - iv. #16.a. Government Contracting. Just formed so not yet (re: insurance)
 - v. #16.b. No
4. Mr. Zaid's date of continuous insurance is 10/01/1999
5. Mr. Zaid joined as "Of Counsel" on 05/15/2010
6. Mr. Zaid's starting date of private practice is December 1992
7. Mr. Zaid's updated IAS and "Of Counsel" Supplement:
 - a. Zaid's Individual Attorney Supplement
 - i. #13.b. Less than 10%
 - ii. #14. Mark S. Zaid, P.C. since December 1992, President; Insurance – One Beacon

- iii. #16.a. James Madison Project, Executive Director. It is a Washington, D.C. non-profit organization that was established in 1998, to promote government accountability and the reduction of secrecy, as well as to educate the public on issues relating to intelligence and national security through means of research, advocacy and the dissemination of information. No current Directors and Officers insurance
- iv. #16.b. Mark S. Zaid, PC does sometimes represent clients from the James Madison Project in FOIA Lawsuits.
- v. #17. Yes, Mark S. Zaid, PC
- b. Zaid's Of Counsel Supplement
 - i. #6, No
 - ii. #7, No.
 - iii. #7.a. "Of Counsel Attorneys will be so identified in The Law Firm of Puckett & Faraj, PC's contract as an additional attorney with specific expertise and an hourly rate assigned." For those clients in which the Firm will serve as lead counsel, Of Counsel will bill IAW Firm billing policies, documented in the Firm's billing system and enumerated IAW an agreement between the Firm and the Of counsel.
- 8. Mr. Bouffard's work history is Jan – Jun 2006, Assistant Criminal District Attorney, Tarrant County, TX and established his own Firm June 2006 to the present as a solo practitioner.

If you have any further questions, please let me know and copy Marcy Atwood, my business manager. She can be reached at marcy@puckettfaraj.com or direct at 202-340-0070.

Sincerely



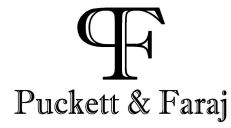
Neal A. Puckett, Esq.

Enclosures:

ALPS FAX with annotated answers
Blank Firm Stationary

Neal A. Puckett, Esq.
Lic: VA

Haytham Faraj, Esq.
Lic: IL, MI, DC



Eric S. Montalvo, Esq.
Lic: NJ, PA, DC

Debra A. D'Agostino, Esq.
Lic: NY

THE LAW FIRM OF PUCKETT AND FARAJ, PC
